



O I P E
JUL 22 2002
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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Page 1 of 3

RFG
#40

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/040,252	10/25/2001	2821	0.00	C01104/70092	20	40	5

CONFIRMATION NO. 3826 ✓

23628
WOLF GREENFIELD & SACKS, PC
FEDERAL RESERVE PLAZA
600 ATLANTIC AVENUE
BOSTON, MA 02210-2211

COPY OF PAPERS
ORIGINALLY FILED

FILING RECEIPT



OC000000007859245

Date Mailed: 04/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/243,250 10/25/2000
AND CLAIMS BENEFIT OF 60/296,377 06/06/2001
AND CLAIMS BENEFIT OF 60/297,828 06/13/2001
AND CLAIMS BENEFIT OF 60/290,101 05/10/2001
AND A CIP OF 09/669,121 09/25/2000
WHICH IS A CON OF 09/425,770 10/22/1999 PAT 6,150,774
WHICH IS A CON OF 08/920,156 08/26/1997 PAT 6,016,038
THIS APPLICATION 10/040,252
IS A CIP OF 09/215,624 12/17/1998
AND A CIP OF 09/213,607 12/17/1998
AND A CIP OF 09/213,189 12/17/1998
AND A CIP OF 09/213,581 12/17/1998
AND A CIP OF 09/213,540 12/17/1998
AND A CIP OF 09/333,739 06/15/1999
AND A CIP OF 09/344,699 06/25/1999
AND A CIP OF 09/616,214 07/14/2000
AND A CIP OF 09/870,418 05/30/2001 → 05/31/2001
AND A CIP OF 09/805,368 03/13/2001
AND A CIP OF 09/805,590 03/13/2001
AND A CIP OF 09/870,193 05/30/2001
AND A CIP OF 09/742,017 12/20/2000
WHICH IS A CON OF 09/213,548 12/17/1998 PAT 6,166,496
THIS APPLICATION 10/040,252
IS A CIP OF 09/815,418 03/22/2001
WHICH IS A CON OF 09/213,548 12/17/1998 PAT 6,166,496
THIS APPLICATION 10/040,252

File Folder	Initials
ECB	<input checked="" type="checkbox"/>
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Confirmation	<input type="checkbox"/>

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APR 29 2002

CLAIMS BENEFIT OF 60/071,281 12/17/1997
AND CLAIMS BENEFIT OF 60/068,792 12/24/1997
AND CLAIMS BENEFIT OF 60/078,861 03/20/1998
AND CLAIMS BENEFIT OF 60/079,285 03/25/1998
AND CLAIMS BENEFIT OF 60/090,920 06/26/1998
(*) Data inconsistent with PTO records.

Foreign Applications

~~UNITED STATES OF AMERICA PCTUS98/17702 08/26/1998~~

If Required, Foreign Filing License Granted 04/11/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Light fixtures for illumination of liquids

Preliminary Class

315

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

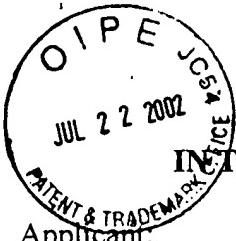
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



ATTORNEY'S DOCKET NO: C01104/70092 (JT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick M. Morgan, Ihor A. Lys, George G. Mueller, Kevin J. Dowling and Brian Roberge
Serial No: 10/040,252
Confirmation No: 3826
Filed: October 25, 2001
For: LIGHT FIXTURES FOR ILLUMINATION OF LIQUIDS

Examiner: Unassigned
Art Unit: 2821

**COPY OF PAPERS
ORIGINALLY FILED**

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 12th day of July, 2002.

Janine Michalski
Janine Michalski

BOX: MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated April 12, 2002, Applicants respectfully submit for filing an original document entitled Declaration for Patent Application and substitute drawings in compliance with 37 C.F.R. 1.84.

Applicants also respectfully note here that the Domestic Priority Data as Claimed by Applicants appearing on the filing receipt following this Response is incorrect. Please correct the enclosed filing receipt with the desired changes as marked in red, and forward a corrected filing receipt to us at your earliest convenience.

The enclosed check for \$754.00 includes the fee for payment of the basic filing fee, the surcharge for the filing of the late declaration, the fee for additional claims and a One Month

Serial No: 10/040,252

- 2 -

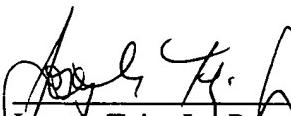
Art Unit: 2821

Extension. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Frederick M. Morgan, et al., Applicants

By:


Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617) 720-3500
Attorneys for Applicants

Docket No. C01104/70092 (JT)

Dated: July 12, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,252	10/25/2001		C01104/70092

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CONFIRMATION NO. 3826

FORMALITIES LETTER



OC000000007859246

07/24/2002 MABDI1 00000022 10040252

Date Mailed: 04/12/2002

01 FC:201 370.00 OP
02 FC:202 84.00 OP
03 FC:203 180.00 OP
04 FC:205 65.00 OP

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$264.
 - \$180 for 20 total claims over 20.
 - \$84 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 699.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and

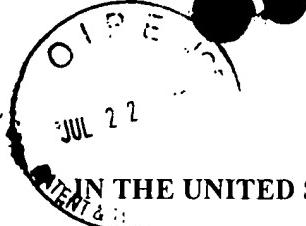
durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ATTORNEY'S DOCKET NO: C01104/70092 (JT)

Applicant: Frederick M. Morgan, Ihor A. Lys, George G. Mueller, Kevin J. Dowling and Brian Roberge

Serial No: 10/040,252

Confirmation No: 3826

Filed: October 25, 2001

For: LIGHT FIXTURES FOR ILLUMINATION OF LIQUIDS

Examiner: Unassigned

Art Unit: 2821

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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 12th day of July, 2002.

Janine Michalski
Janine Michalski

BOX: MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

LETTER TO THE OFFICIAL DRAFTSPERSON

Sir:

We enclose twenty (20) sheets of formal drawings (Figs. 1-20) for the above-identified patent application.

Please charge any fees or credit any overpayment to Deposit Account 23/2825.

Respectfully submitted,

Frederick M. Morgan, et al., Applicants

By: *[Signature]*

Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617) 720-3500
Attorneys for Applicants

Docket No. C01104/70092 (JT)
Dated: July 12, 2002



ATTORNEY'S DOCKET NO: C01104/70092 (JT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Frederick M. Morgan, Ihor A. Lys, George G. Mueller, Kevin J. Dowling
and Brian Roberge

Serial No:

10/040,252

Confirmation No:

3826

Filed:

October 25, 2001

For:

LIGHT FIXTURES FOR ILLUMINATION OF LIQUIDS

Examiner:

Unassigned

Art Unit:

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Janine Michalski

BOX: MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents:

- RESPONSE TO THE NOTICE TO FILE MISSING PARTS
- COPY OF NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
- DECLARATION FOR PATENT APPLICATION
- COPY OF FILING RECEIPT MARKED WITH CORRECTIONS IN RED
- PETITION FOR ONE MONTH EXTENSION OF TIME
- LETTER TO THE OFFICIAL DRAFTSPERSON
- TWENTY (20) SHEETS OF FORMAL DRAWINGS (FIGS. 1-20)
- RETURN POSTCARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$754.00 is enclosed to cover the basic filing fee, the surcharge for the filing of the late declaration, the fee for additional claims, and a One Month Extension. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Frederick M. Morgan, et al., Applicants

By:


Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617) 720-3500
Attorneys for Applicants

Docket No. C01104/70092 (JT)
Dated: July 12, 2002
X (7/12/02)